

# Extremal Trajectories of a Spherical Robot on Inhomogeneous Surfaces

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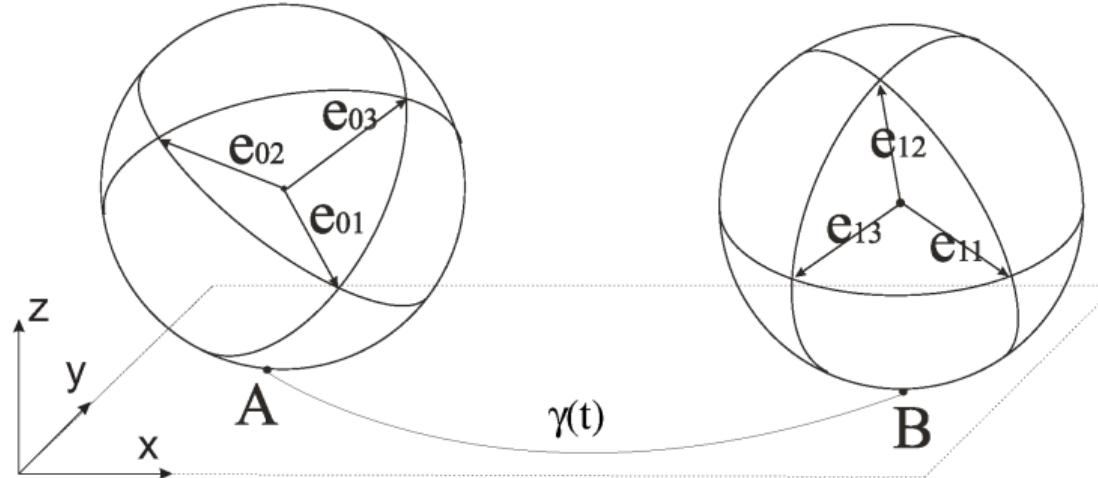


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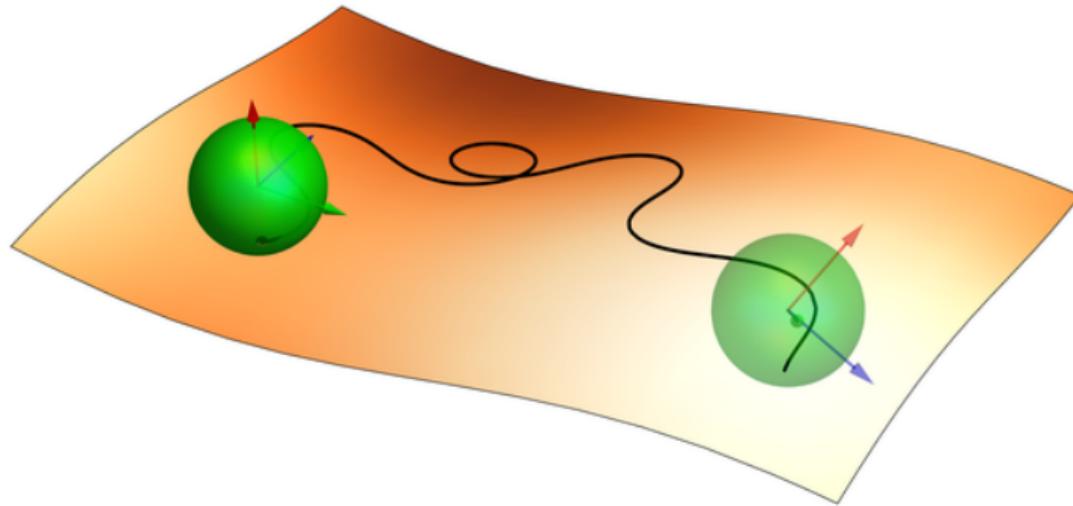
## Problem Formulation

Given:  $A, B \in \mathbb{R}^2$ , frames  $(e_{01}, e_{02}, e_{03})$ ,  $(e_{11}, e_{12}, e_{13})$  in  $\mathbb{R}^3$ ,  
external cost  $\mathcal{C} : \mathbb{R}^2 \rightarrow [\varepsilon, +\infty) : (x, y) \mapsto \mathcal{C}(x, y)$ ,  $\varepsilon > 0$ .



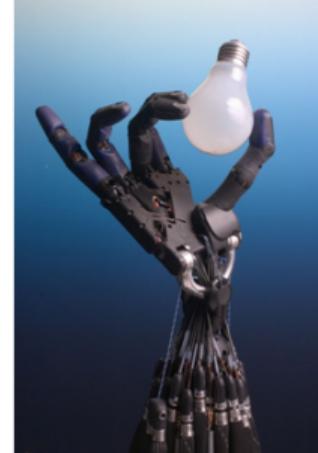
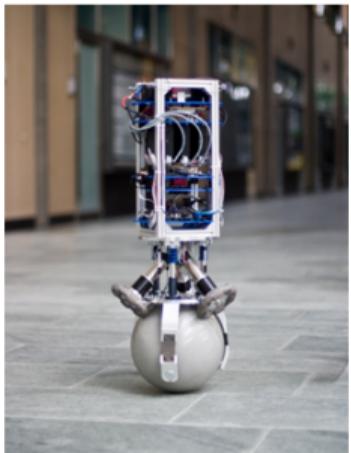
Find:  $\gamma(t) = (x(t), y(t)) \in \mathbb{R}^2$ ,  $t \in [0, T]$ , — the shortest (w.r.t.  $\mathcal{C}$ ) curve, s.t.:  
 $\gamma(0) = A$ ,  $\gamma(T) = B$ , orientation transfers from  $(e_{01}, e_{02}, e_{03})$  to  $(e_{11}, e_{12}, e_{13})$ ,

$$\int_0^T \mathcal{C}(x(t), y(t)) \sqrt{\dot{x}^2(t) + \dot{y}^2(t)} \, dt \rightarrow \min.$$





# Motivation



## History of the problem

- 1983 J.M. Hammersley: statement of the plate-ball problem.
- 1986 A.M. Arthur, G.R.Walsh: integrability of Hamiltonian system of PMP in quadratures.
- 1990 Z.Li, J. Canny: controllability of the system.
- 1993 V. Jurdjevic:
- ▶ projections of extremal curves  $(x(t), y(t))$  — Euler elasticae,
  - ▶ description of different qualitative types of extremal trajectories,
  - ▶ differential equations for evolution of Euler angles along extremal trajectories.

## History of the problem

2010 Yu. L. Sachkov:

- ▶ continuous and discrete symmetries;
- ▶ fixed points of symmetry (Maxwell points);
- ▶ a necessary optimality condition: an upper bound for the cut time.

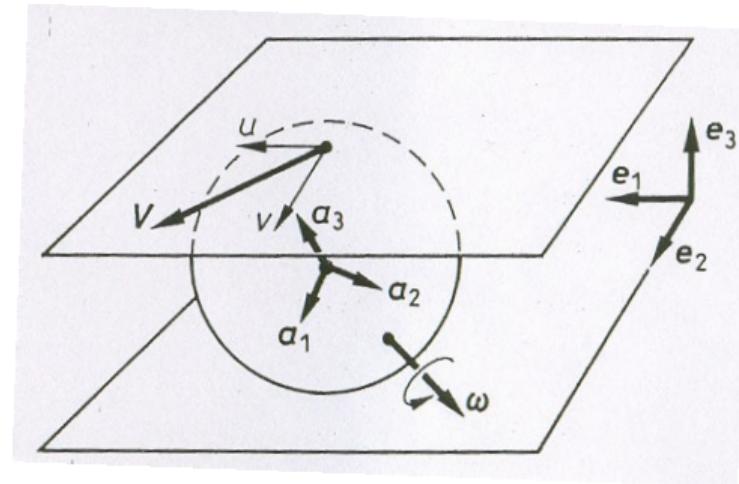
2011 A.P. Mashtakov, Yu.L. Sachkov:

- ▶ explicit parameterization of extremal trajectories;
- ▶ asymptotics of the extreme trajectories of Maxwell's time when the sphere rolls along sinusoids of small amplitude.

2021 Current work: Generalization to the external cost case

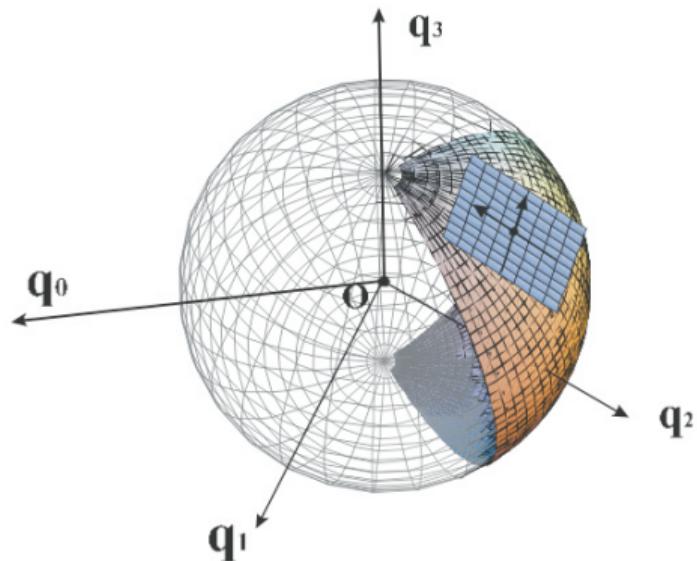
## State and control variables

- ▶ Contact point  $(x, y) \in \mathbb{R}^2$
- ▶ Orientation of sphere  $R : a_i \mapsto e_i, i = 1, 2, 3, R \in SO(3)$
- ▶ State of the system  $Q = (x, y, R) \in \mathbb{R}^2 \times SO(3) = M$
- ▶ Controls  $u_1 = u/2, u_2 = v/2, (u_1, u_2) \in \mathbb{R}^2$



## Representation of rotations in $\mathbb{R}^3$ by quaternions

- ▶  $\mathbb{H} = \{q = q_0 + iq_1 + jq_2 + kq_3 | q_0, \dots, q_3 \in \mathbb{R}\}$
- ▶  $S^3 = \{q \in \mathbb{H} | |q|^2 = q_0^2 + q_1^2 + q_2^2 + q_3^2 = 1\}$
- ▶  $I = \{q \in \mathbb{H} | \operatorname{Re} q = q_0 = 0\}$
- ▶  $q \in S^3 \Rightarrow R_q(a) = qaq^{-1}, \quad a \in I, R_q \in \operatorname{SO}(3) \cong \operatorname{SO}(I)$
- ▶  $\dot{q} = \frac{1}{2}\vec{\omega}q$



# Optimal control problem

## ► Control System

$$\begin{cases} \dot{x} = u_1, & \dot{y} = u_2, \\ \dot{q}_0 = \frac{1}{2}(q_2 u_1 - q_1 u_2), \\ \dot{q}_1 = \frac{1}{2}(q_3 u_1 + q_0 u_2), & q \in S^3, (u_1, u_2) \in \mathbb{R}^2, \\ \dot{q}_2 = \frac{1}{2}(-q_0 u_1 + q_3 u_2), \\ \dot{q}_3 = \frac{1}{2}(-q_1 u_1 - q_2 u_2), \\ x(0) = y(0) = 0, q(0) = 1. \end{cases}$$

► Boundary conditions  $Q(0) = Q_0, Q(T) = Q_1$

► Cost functional  $I(Q) = \int_0^T \mathcal{C}(Q) \sqrt{\dot{x}^2 + \dot{y}^2} dt = \int_0^T \mathcal{C}(Q) \sqrt{u_1^2 + u_2^2} dt \rightarrow \min$

## Existence of solutions

- Sub-Riemannian problem:

$$\begin{aligned}\dot{Q} &= u_1 X_1(Q) + u_2 X_2(Q), \quad (u_1, u_2) \in \mathbb{R}^2, \\ Q(0) &= Q_0, \quad Q(T) = Q_1, \quad Q \in M = \mathbb{R}^2 \times SO(3), \\ I &= \int_0^T \mathcal{C}(Q) \sqrt{u_1^2 + u_2^2} dt \rightarrow \min.\end{aligned}$$

- Complete controllability by Rashevskii-Chow theorem:

$$\begin{aligned}\text{span}(X_1(Q), X_2(Q), X_3(Q), X_4(Q), X_5(Q)) &= T_q M \quad \forall Q \in M, \\ X_3 &= [X_1, X_2], \quad X_4 = [X_1, [X_1, X_2]], \quad X_5 = [X_2, [X_1, X_2]].\end{aligned}$$

- Filippov's theorem:  $\forall Q_0, Q_1 \in M$  optimal trajectory exists.
- $Q_0 = (0, 0, \text{Id}) \in \mathbb{R}^2 \times SO(3)$ .

## Minimization functional

The Cauchy-Schwarz inequality ensures that the original problem

$$I = \int_0^T \mathcal{C}(Q) \sqrt{u_1^2 + u_2^2} dt \rightarrow \min.$$

is equivalent to the problem of minimizing the action:

$$J = \frac{1}{2} \int_0^T \mathcal{C}^2(Q) (u_1^2 + u_2^2) dt \rightarrow \min.$$

# Pontryagin Maximum Principle (PMP)

Left-invariant hamiltonians:

$$h_i = \langle \lambda, X_i \rangle, \quad \lambda \in T^*(\mathbb{R}^2 \times S^3), \quad i = 1, \dots, 5$$

The Pontryagin function:

$$h_u^\nu = u_1 h_1 + u_2 h_2 + \frac{\nu}{2} \mathcal{C}^2(Q)(u_1^2 + u_2^2)$$

Maximum Condition:

$$H_{\bar{u}}^\nu = \max_{u \in \mathbb{R}^2} h_u^\nu$$

The Hamiltonian system of PMP:

$$\dot{Q} = \bar{u}_1 X_1 + \bar{u}_2 X_2, \quad \dot{h}_i = \{ H_{\bar{u}}^\nu, h_i \}.$$

## Abnormal Case $\nu = 0$

Rolling of the sphere along straight lines.

## Normal Case $\nu = 1$

Maximum Condition:  $u_1 = \frac{h_1}{\mathcal{C}^2(Q)}, u_2 = \frac{h_2}{\mathcal{C}^2(Q)}$

The Hamiltonian System of PMP:

$$\begin{cases} \dot{x}(t) = \frac{h_1(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{y}(t) = \frac{h_2(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{q}_0(t) = \frac{-h_2(t)q_1(t) + h_1(t)q_2(t)}{2\mathcal{C}^2(Q(t))}, \\ \dot{q}_1(t) = \frac{h_2(t)q_0(t) + h_1(t)q_3(t)}{2\mathcal{C}^2(Q(t))}, \\ \dot{q}_2(t) = \frac{-h_1(t)q_0(t) + h_2(t)q_3(t)}{2\mathcal{C}^2(Q(t))}, \\ \dot{q}_3(t) = -\frac{h_1(t)q_1(t) + h_2(t)q_2(t)}{2\mathcal{C}^2(Q(t))}. \end{cases}$$

$$\begin{cases} \dot{h}_1(t) = \frac{1}{\mathcal{C}^3(Q(t))} \partial_x \mathcal{C}(Q(t)) + \frac{h_2(t)h_3(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{h}_2(t) = \frac{1}{\mathcal{C}^3(Q(t))} \partial_y \mathcal{C}(Q(t)) + \frac{h_1(t)h_3(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{h}_3(t) = \frac{h_1(t)h_4(t) + h_2(t)h_5(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{h}_4(t) = -\frac{h_1(t)h_3(t)}{\mathcal{C}^2(Q(t))}, \\ \dot{h}_5(t) = -\frac{h_2(t)h_3(t)}{\mathcal{C}^2(Q(t))}, \end{cases}$$

with the initial condition  $h_1(0) = \pm \sqrt{2\mathcal{C}^2(Q(0)) - (h_2^0)^2}, h_i(0) = h_i^0, i = 2, \dots, 5.$

## Conclusion

1. Model of a spherical robot rolling on an inhomogeneous surface
2. The external cost encodes the inhomogeneity of the surface
3. Optimal control formulation
4. A necessary optimality condition — PMP
5. Hamiltonian system of PMP that determines the extremals
6. Numerical simulation of robot motion

Thank you for your attention!